## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

I

| ( ) ) / |           |  |  |  |  |  |  |  |
|---|-----------|--|--|--|--|--|--|--|
| OMB Number:   | 3235-0287 |  |  |  |  |  |  |  |
| Estimated average burden  |           |  |  |  |  |  |  |  |
| hours per response:   | 0.5       |  |  |  |  |  |  |  |

| (City)                         | (State)                            | (Zip)               |   |                        | Person   |   |
|--------------------------------|------------------------------------|---------------------|---|------------------------|--|---|
| ·                              |                                    |                     | ]   |                        | Form filed by More th  | nan One Reporting                                 |
| (Street)<br>NORWELL            | MA                                 | 02061               | 4. If Amendment, Date of Original Filed (Month/Day/Year)  | 6. Indiv<br>Line)<br>X | vidual or Joint/Group Fil<br>Form filed by One Re                              | eporting Person                                   |
| (Last)<br>42 LONGWAT           | (First)<br>T <mark>ER DRIVE</mark> | (Middle)            | 3. Date of Earliest Transaction (Month/Day/Year)<br>07/01/2021  | X                      | below)<br>EVP/CIO (C   | below)  |
| 1. Name and Add<br>Gabriel Sha | 1 0                                | Person <sup>*</sup> | 2. Issuer Name and Ticker or Trading Symbol <u>CLEAN HARBORS INC</u> [ CLH ]  | (Check                 | ationship of Reporting P<br>all applicable)<br>Director<br>Officer (give title | erson(s) to Issuer<br>10% Owner<br>Other (specify |
| Instruction 1(b                |                                    |                     | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1<br>or Section 30(h) of the Investment Company Act of 1940 | 934                    | Tiours per   | response. 0.5                                     |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |      |   |                      |               |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------|---|----------------------|---------------|-------------------|---|---|---|
|                                 |  |   | Code | v | Amount               | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130.4)  |
| Common Stock                    | 07/01/2021                                 |   | A    |   | 2,468 <sup>(1)</sup> | A             | \$ <mark>0</mark> | 23,959  | D   |   |
| Common Stock                    | 07/01/2021                                 |   | A    |   | 1,234 <sup>(2)</sup> | A             | \$ <mark>0</mark> | 25,193  | D   |   |
| Common Stock                    | 07/01/2021                                 |   | F    |   | 186 <sup>(3)</sup>   | D             | \$93.23           | 25,007  | D   |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Nu<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) of<br>Dispo<br>of (D)<br>(Instr<br>and 5 | rative<br>rities<br>ired<br>r<br>osed<br>)<br>. 3, 4 | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |       | Derivative<br>Security<br>(Instr. 5)   | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|--|--|--|---|-------|--|--|--|--|--|
|   |   |  |   | Code                         | v | (A)  | (D)  | Date<br>Exercisable                            | Expiration<br>Date  | Title | Amount<br>or<br>Number<br>of<br>Shares |  |  |  |  |

#### Explanation of Responses:

1. Performance-Based Restricted Stock Award that will vest, depending on achievement of certain goals during the performance period 1/1/2021-12/31/2022.

2. Restricted Stock Award vesting as to 60% on July 1, 2024; 20% on July 1, 2025; and 20% on July 1, 2026.

3. Payment of tax liability by withholding of securities incident to vesting of securities in accordance with Rule 16b-3.

### Sharon M. Gabriel

\*\* Signature of Reporting Person

07/06/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 ſ ٦